



#4

63C0

PTO/SB/21 (08-00)

Please type a plus sign (+) inside this box → ☐

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	<b>Application Number</b>	09/841,951	
	<b>Filing Date</b>	April 25, 2001	
	<b>First Named Inventor</b>	Smith III, Amos B. et al.	
	<b>Group Art Unit</b>	TBA	
	<b>Examiner Name</b>	TBA	
<b>Total Number of Pages in This Submission</b>	250	<b>Attorney Docket Number</b>	4730-103 US

ENCLOSURES (check all that apply)				
<input type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application)  <input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information   <input type="checkbox"/> Status Letter   <input type="checkbox"/> Other Enclosure(s) (please identify below):  <b>Return receipt acknowledgement postcard</b>		
<table border="1"><tr><td>Remarks</td><td></td></tr></table>			Remarks	
Remarks				

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Brian L. Buckwalter
Signature	
Date	08/06/01

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 08/06/01			
Typed or printed name	Valerie Balfour		
Signature		Date	08/06/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Docket No.: 4730-103 US

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: ASSISTANT COMMISSIONER OF PATENTS, WASHINGTON, D.C. 20231 ON THE DATE INDICATED BELOW.

BY: Mr. B. S.

DATE: 8/6/01

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re: Application of Amos B. Smith III, *et al.* : Group Art Unit: TBA  
:   
Application. No.: 09/841,951 : Examiner: TBA.  
:   
Filed: April 25, 2001 :   
:   
For: NEW SOLUTION AND SOLID PHASE SYNTHESIS OF :   
PYRROLINONES AND POLYPYRROLINONES :

Assistant Commissioner of Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

SIR:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicants wish to make know to the Patent and Trademark Office the references set forth on the attached Form PTO-1449. As to any reference supplied, applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by showing under 37 C.F.R. § 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicant's duty to disclose all information they are aware of which is believed to be relevant to the examination of the above-captioned application, applicants believe their invention is patentable. Kindly make the references of record in the above-captioned application.

The above-captioned application is a divisional of Ser. No. 08/910,820 and the references have been provided previously. Applicants believe that under 37 C.F.R. § 1.98(d), no new copy of the references disclosed herein is required. Should the any of the references

be missing from the parent application, kindly contact the undersigned and a copy will be provided.

In accordance with 37 C.F.R. § 1.97(b), this has been submitted prior to mailing of the first Office Sction and applicants believe no fees are due. In the event fees are due, authority is hereby given to charge any such deficiency to Deposit Account No. 13-2165 Mathews, Collins, Shepherd & Gould. The Examiner is invited to contact the undersigned if further information is required.

Respectfully submitted,  
Mathews, Collins, Shepherd & Gould, P.A.



Brian L. Buckwalter  
Registration No. 46,585  
Agent for Applicants

August 6, 2001

Enclosures:

Receipt Acknowledgement Postcard

Form PTO-1449

Copies of references A-U

100 Thanet Circle, Suite 306

Princeton, NJ 08540-3662

Telephone 609-924-8555

Telecopier 609-924-3036